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(71) Applicant (for all designated States except US): **ALIMENTARY HEALTH LIMITED [IE/IE]; Simla Villa, Passage West, County Cork (IE).**

(72) Inventors; and

(75) Inventors/Applicants (for US only): **SHANAHAN, Fergus [IE/IE]; Seafort, Fort Cliff, Kinsale, County Cork (IE). COLLINS, John, Kevin [IE/IE]; Spur Hill, Doughcloyne, County Cork (IE). KIELY, Barry [IE/IE]; Simla Villa, Passage West, County Cork (IE). DUNNE, Colum [IE/IE]; 34 Greenhills Estate, South Douglas Road, Cork (IE). O'SULLIVAN, Gerald, Christopher [IE/IE]; Cuan Baoi, Ballinveltig, Curraheen Road, Bishopstown, Cork (IE). O'MAHONY, Liam [IE/IE]; 41 Maryville Estate, Ballintemple, Cork (IE).**

(74) Agents: **O'BRIEN, John, A. et al.; c/o John A O'Brien & Associates, Third Floor, Duncairn House, 14 Carysfort Avenue, Blackrock, County Dublin (IE).**

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(54) Title: **A PROBIOTIC ADHESIN PRODUCT, DERIVED FROM LACTOBACILLUS**

(57) Abstract: An adherence factor comprises a cell wall associated adhesin derived from *Lactobacillus* or a derivative, fragment, precursor or mutant of the adhesin, the adherence factor mediating adherence to epithelial cells and modulating gene expression to improve gut barrier function and gastrointestinal tract homeostasis. The *Lactobacillus* is *Lactobacillus salivarius* subspecies *Salivarius*. The adhesin has a molecular weight of 83kDa.

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A probiotic adhesin product, derived from *Lactobacillus*

Introduction

5       The invention relates to probiotic material and in particular to probiotic materials derived from *Lactobacillus salivarius*.

Consumers are becoming increasingly aware of matters which may be necessary for maintenance of their environment, health and nutrition. In response, scientific research has focused upon the roles that diet, stress, and modern  
10       medical practices (e.g. antibiotics and radiotherapy) may play in threatening human health. In particular, population dynamics shifting towards older societies are increasing the incidence of illnesses which may be caused by deficient or compromised microflora such as gastrointestinal tract (GIT)  
15       infections, constipation, irritable bowel syndrome (IBS), inflammatory bowel disease (IBD) – Crohn's disease and ulcerative colitis, food allergies, antibiotic-induced diarrhoea, cardiovascular disease, and certain cancers (e.g. colorectal cancer).

20       Probiotics have been defined as live microbial food supplements which beneficially affect the host by improving the intestinal microbial balance, or more broadly, as living micro-organisms, which upon ingestion in certain numbers, exert health effects beyond inherent basic nutrition. Cocktails of various micro-organisms, particularly species of *Lactobacillus* and *Streptococcus*,  
25       have traditionally been used in fermented dairy products to promote health.

In recent years the commercial manufacture and marketing of functional foods (foods which affect functions of the body in a targeted manner so as to bring about positive affects on physiology and nutrition), particularly probiotic  
30       (Acidophilus-Bifidus) yoghurts, has spread from the well-established Japanese niche market place into the lucrative and expanding European Union. While a

number of probiotic bacteria of human origin are now being exploited commercially (e.g., *L. acidophilus* LA-1), many consumers, consumer organisations, and members of the scientific community are sceptical of such products and their publicised probiotic claims. The dairy-food industry is therefore under considerable pressure to scientifically validate these new probiotic food products.

Criteria which have been suggested for the selection of potentially effective probiotic micro-organisms may be summarised as follows: human origin, non-pathogenic behaviour, resistance to technological processes (i.e., viability and activity in delivery vehicles), resistance to gastric acidity and bile toxicity, adhesion to gut epithelial tissue, ability to colonise the GIT, production of antimicrobial substances, ability to modulate immune responses, ability to persist, albeit for short periods, in the gastrointestinal tract and the ability to influence metabolic activities (e.g., cholesterol assimilation, lactase activity, vitamin production (37)).

Some Lactobacilli are indigenous to the intestinal tract of man and animals. Such Lactobacilli have traditionally been used in fermented dairy products to promote human health through the influences they may exert on the microbial ecology of the host, lactose intolerance, incidence of diarrhoea, mucosal immune response, levels of blood cholesterol, and cancer (1, 2)

A number of research groups have published reports describing *in vitro* assays which facilitate the evaluation of microorganisms to epithelial cells of animal (5,6) and human origin (7,8,9,10).

Other research groups have described assays evaluating bacterial adhesion to intestinal mucus (11) or to synthetic moieties (12).

Many of the reported studies focused upon the evaluation of bacterial adhesion to epithelial cells and have utilised HT-29 and Caco-2 cells, which are human intestinal cell-lines expressing morphological and physiological characteristics of normal human enterocytes (13). These cell-lines have been exploited extensively to elucidate the mechanisms mediating enteropathogen adhesion (14,15).

In more recent studies, however, HT-29 and Caco-2 cells have been employed in order to select for, and subsequently assess, lactic acid bacteria on the basis of their adherence properties (16,17,18,19,20,21,22).

Further studies involving such probiotic Lactobacilli have demonstrated:

- i) that following oral administration the introduced bacteria can be recovered from biopsy specimens of colonic mucosa (23, 24).
- ii) competitive exclusion, even by heat-killed bacterial cells, of potential microbial pathogens from human epithelial cells and mucus (25,26,27,28).

In order to determine the mechanisms mediating the interactions that occur between bacterial cells and the surrounding environment and, thereby, the probiotic traits described above, scientists have begun to elucidate the taxonomy, physiology and genetic properties of probiotic bacteria (29,30,31). These studies have implicated a number of factors in the attachment of probiotic bacterial cells to epithelial cells. Such factors include:

- i) passive entrapment of the bacterial cells by fimbrial cell matrix material (20);
- ii) bacterial cell surface-associated lipotechoic acid(33);
- iii) proteinaceous extracellular adhesins (6,8,17,18);
- iv) bacterial cell surface-associated proteinaceous factors (34,19).

There is a need to identify the factors involved in the adhesion of probiotic bacterial cells to epithelial cells which will have particular beneficial effects on nutrition, therapy and on health in general.

5      Statements of Invention

According to the invention there is provided an adherence factor comprising a cell wall associated adhesin derived from a *Lactobacillus* or a derivative, fragment precursor or mutant of the adhesin, the adherence factor mediating adherence to  
10      epithelial cells and modulating epithelial gene expression to improve gut barrier function.

In one embodiment of the invention expression of any one or more of a cadherin, a semaphorin, wnt-13, tenascin or an integrin is upregulated. Most  
15      preferably expression of a cadherin is upregulated. Cadherins are the prime mediators of epithelial cell-cell adhesin.

In another embodiment of the invention expression of any one or more of ras-related C3 botulinum toxin substrate 1 (Rac) or TNF $\alpha$  is downregulated.

20      Preferably the *Lactobacillus* is isolated from resected and washed human gastrointestinal tract, preferably the *Lactobacillus* is *Lactobacillus salivarius*, most preferably *Lactobacillus salivarius* subspecies *Salivarius*. The *Lactobacillus* may be  
25      *Lactobacillus salivarius* subspecies *Salivarius* UCC118 or a mutant or variant thereof.

Preferably the adherence factor is proteinaceous in nature.

Preferably the factor has a molecular weight of approximately 83kDa.  
30

Most preferably the factor has at least portion of the N-terminal amino acid sequence listed in SEQ. ID. No. 1.

5 In one embodiment of the invention the *Lactobacillus* is in the form of viable cells. Alternatively the *Lactobacillus* may be in the form of non-viable cells.

The invention further provides a formulation which comprises a factor of the invention.

10 Preferably the formulation comprises a probiotic material. Alternatively or additionally the formulation comprises a prebiotic material.

In one embodiment of the invention the formulation comprises a strain of *Streptococcus thermophilus*.

15 In one embodiment of the invention the formulation comprises an ingestible carrier, preferably the ingestible carrier is a pharmaceutically acceptable carrier such as a capsule, tablet or powder, most preferably the ingestible carrier is a food product such as acidified milk, yoghurt, frozen yoghurt, milk powder, milk  
20 concentrate, cheese spreads, dressings or beverages.

In one embodiment of the invention the formulation comprises a protein and/or peptide, in particular proteins and/or peptides that are rich in glutamine/glutamate, a lipid, a carbohydrate, a vitamin, mineral and/or trace  
25 element.

Preferably the formulation comprises an adjuvant. The formulation may comprise a bacterial component. The formulation may alternatively or additionally comprise a drug entity. The formulation may also comprise a  
30 biological compound.

The formulation may be in an orally ingestible form.

The invention further provides a factor or formulation for use in foodstuffs or for use as a medicament.

5

The product or formulation may be for use in the prophylaxis and/or treatment of undesirable inflammatory activity.

10

The invention provides use of *Lactobacillus* bacteria isolated from resected and washed human gastrointestinal tract or its cell wall associated adhesin or derivative, fragment, precursor, mutant or recombinant products thereof for improving gut barrier function and or competitively excluding potential pathogens from binding to and or invading epithelial cells.

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The invention also provides use of *Lactobacillus* bacteria isolated from resected and washed human gastrointestinal tract or its cell wall associated adhesin or derivative, fragment, precursor, mutant or recombinant products thereof for mediating adherence of microorganisms to epithelial cells.

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Preferably the *Lactobacillus* is *Lactobacillus salivarius*, preferably *Lactobacillus salivarius* subsp. *Salivarius* strain, most preferably *Lactobacillus salivarius* subsp. *Salivarius* strain UCC118.

25

The invention further provides *Lactobacillus salivarius* subsp. *Salivarius* strain or its adhesin component or recombinant products bearing all or part of the adhesin amino acid sequence SEQ. ID. No. 1 for use in engineering hyper-adhesive variants of microorganisms.

30

One aspect of the invention provides a vaccine comprising an adherence factor or formulation of the invention.



A further aspect provides use of an adherence factor of the invention for the preparation of a medicament for use in generating an immune response, for engineering hyperadhesive mutants, for the preparation of a medicament or for use in regulating cell cycle and/or invasive behaviour of tumour cells.

5

The invention further provides a delivery system for delivery of borne factors to intestinal tissue comprising a factor of the invention.

One aspect of the invention provides *Lactobacillus salivarius* subsp. *Salivarius* strain UCC118 or its adhesin component or recombinant products bearing all or part of the adhesin amino acid sequence SEQ. ID. No. 1 for use in generating an immune response in inflamed and/or non-inflamed intestinal tissue, for use as a vaccine, for use in the delivery of borne factors to inflamed and/or non-inflamed intestinal tissue and persistence at the sites of adherence to allow slow-release of the borne factors, or for use in foods or medicaments.

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The invention also provides a cell wall associated adhesin having a molecular weight of approximately 83kDa.

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The invention further provides a cell wall associated adhesin containing the N-terminal amino acid sequence listed in SEQ. ID. No. 1.

25

The adherence factor of the invention additionally or alternatively competitively excluding potential pathogens from binding to and or invading epithelial cells, the factor comprising a cell wall associated adhesin or derivative, fragment, precursor or mutant thereof, which mediates adherence of microorganisms to epithelial cells and being derived from *Lactobacillus salivarius* isolated from resected and washed human gastrointestinal tract.

Brief description of the drawings

5 Fig. 1 is a bar chart showing the adherence of individual probiotic *Lactobacillus* or *Bifidobacterium* strains when introduced onto either HT-29 or CaCo-2 epithelial cell monolayers;

10 Fig. 2 is a Scanning Electron Micrograph (SEM) showing the adherence of probiotic *Lactobacillus salivarius* UCC118 cells to HT-29 epithelial cell monolayer ;

Fig. 3 is a bar chart showing the adherence of probiotic *Lactobacillus salivarius* UCC118 cells to HT-29 epithelial cell monolayer. It is noted that the bacterial cells adhere at a greater level when introduced onto differentiated epithelial cells;

15 Fig. 4 is a bar chart showing the difference in adherence of probiotic *Lactobacillus salivarius* UCC118 cells to HT-29 epithelial cell monolayer during log phase and stationary phase of bacterial growth;

20 Fig. 5 is a bar chart showing the adherence of probiotic *Lactobacillus salivarius* UCC118 cells to HT-29 epithelial cell monolayer. It is noted that the adherence of the bacterial cells is mediated by the presence of a proteinaceous, cell-associated factor; and that this trait can be negatively influenced by treatment of the bacterial cells with Trypsin;

25 Fig. 6 is a Gel electrophoresis (PAGE) of *Lactobacillus salivarius* UCC118 proteinaceous, cell-associated factors. Two protein bands are particularly distinct with approximate molecular weights of 190 kDa and 83kDa, respectively;

30 Fig. 7 shows a graph of the purification of a proteinaceous, cell-associated factors from *Lactobacillus salivarius* UCC118 by DEAE-Sepharcel anion

exchange chromatography. Analysis of the protein content of the collected FPLC fractions shows obvious peaks at fractions 8, 12, 18, 20, 32 and 38;

5 Fig. 8 is a bar chart showing the adherence of probiotic *Lactobacillus salivarius* UCC118 cells to HT-29 epithelial cell monolayer. It is noted that the adherence of the bacterial cells is significantly reduced when FPLC fraction 18 is added prior to the bacterial cells. FPLC fractions 20, 32, 38 and 48 do not significantly affect adherence of *Lactobacillus salivarius* UCC118 cells;

10 Fig. 9 is a Gel electrophoresis (PAGE) of a *Lactobacillus salivarius* UCC118 proteinaceous, cell-associated factor present in FPLC fraction 18. This protein band corresponds with the protein band having an approximate molecular weight of 83kDa seen in Fig. 6;

15 Fig. 10 is a bar chart showing the invasion of HT-29 epithelial cell monolayer by a strain of *Listeria monocytogenes* to be significantly inhibited by the presence of probiotic *Lactobacillus salivarius* UCC118 cells;

20 Fig. 11 is a bar chart showing the adherence to HT-29 epithelial cell monolayer by a strain of *Enterococcus*. The adherence can be significantly inhibited by the presence of probiotic *Lactobacillus salivarius* UCC118 cells.

25 Fig. 12 is a bar chart showing the adherence to human intestinal mucosa by probiotic *Lactobacillus salivarius* UCC118 cells as determined by microbiological analysis of biopsy specimens; and

30 Fig. 13 is a table showing the number of probiotic *Lactobacillus salivarius* UCC118 cells adherent to human intestinal mucosa as determined by microbiological analysis of biopsy and faecal specimens. It is noted that UCC118 is capable of persisting within the human gastrointestinal environment for a period of at least 24-26 days.

Detailed Description

We have identified an adherence factor, derived from *Lactobacillus salivarius* isolated from resected and washed human gastrointestinal tissue, which has been found to improve gut barrier function by modulation of epithelial gene expression.

Gut barrier function relates to the ability of the gastrointestinal epithelial monolayer to exclude luminal contents from entering the lamina propria and subsequently interacting with systemic processes. Luminal contents to be excluded include, but are not limited to, bacteria, fungi, yeasts, metabolites and ingested particulate matter. A disturbance of gut barrier function allows invasion of microbes, metabolites, etc. normally contained within the lumen, into the underlying intestinal layers resulting in tissue damage and inflammation. Enhancement of genes controlling epithelial cell-cell binding and cellular integrity would enhance the ability of the gastrointestinal monolayer to resist damage and would promote healing of damaged cells. Thus, interaction between at least an adherence factor derived from *Lactobacillus* UCC118 and gastrointestinal epithelial cells promotes gut barrier function and is useful in prophylactic and therapeutic settings.

The adherence factor of the invention has been found to result in the up-regulation of epithelial genes such as cadherins, semaphorins, wnt-13, tenascin and integrins thereby improving gut barrier function and gastrointestinal homeostasis. Cadherins are the prime mediators of epithelial cell-cell adhesion. Semaphorins play key roles in the control of cellular interactions, while wnt-13 is a developmental protein affecting development of discrete regions of tissue. Tenascin regulates epithelial differentiation and integrins play multiple roles in cell differentiation and cell-cell interactions.

The adherence factor of the invention has also been found to reduce levels of genes such as the ras-related C3 botulinum toxin substrate 1 (Rac) involved in the invasive behaviour of tumour cells and the TNF $\alpha$  gene which is a proinflammatory cytokines.

5

Alternatively or additionally the adherence factor of the invention has been found to competitively exclude potential pathogens from binding to and or invading epithelial cells and which mediates in the adherence of microorganisms to epithelial cells.

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The product therefore has potential application in a wide range of treatments including improving gut barrier function and gastrointestinal homeostasis and reducing tumour invasiveness and inflammatory responses within the gut.

15

The product is derived from *Lactobacillus salivarius* subspecies *Salivarius* UCC118. A deposit of *Lactobacillus salivarius* strain UCC 118 was made at the NCIMB on November 27, 1996 and accorded the accession number NCIMB 40829. The strain of *Lactobacillus salivarius* is described in WO-A-98/35014.

20

UCC118 isolated from resected and washed human gastrointestinal tract has been found to adhere to epithelial cells *in vitro* and competitively exclude potential pathogens from binding to and or invading the epithelial cells. UCC118 has been found to adhere to both inflamed and non-inflamed intestinal tissue and remains detectable for a period of at least 12 days post cessation of oral administration. This may have potential application in allowing delivery of product borne factors to inflamed and/or non-inflamed intestinal tissue and persistence at the sites of adherence may allow the slow release of the borne factors. Such product borne factors may include suitable pharmaceutical compounds.

25

30

We have isolated a factor which has shown a significant reduction of adhesion of UCC118 when added prior to the introduction of the bacterial strain.

5 The factor is proteinaceous in nature with a molecular weight of approximately 83kDa as determined by 10% SDS PAGE. It has an N-terminal amino acid sequence as listed in SEQ ID NO. 1

10 The adhesin factor described has potential application in a wide range of treatments. In particular, *Lactobacillus salivarius* subsp. *Salivarius* strain UCC118 or its adhesin component or recombinant products bearing all or part of the adhesin amino acid sequence may have use in engineering hyper-adhesive mutants, in particular hyper adhesive variants of UCC18 or other microorganisms; generating an immune perception in inflamed and/or non-inflamed intestinal tissue; vaccination; delivery of borne factors to inflamed and/or non-inflamed intestinal tissue and persistence at the sites of adherence  
15 which may allow slow-release of the borne factors; regulating cell cycle and invasive behaviour of tumour cells and in foods or medicaments.

20 The product of the invention may be administered in an orally ingestible form in the conventional form of preparation such as capsules, microcapsules, tablets, granules, powder, troches, pills, suppositories, injections, suspensions and syrups. Suitable formulations may be prepared by methods commonly employed using conventional organic and inorganic additives. The amount of active ingredient in the medical composition may be at a level that will exercise  
25 the desired therapeutic effect.

In addition a vaccine comprising product of the invention may be prepared using any suitable known method and may include a pharmaceutically acceptable carrier or adjuvant.

30

The invention will be more clearly understood from the following examples.

**Example 1:** Isolation of *Lactobacillus salivarius* subsp. *salivarius* strain UCC118.

5     *Lactobacillus salivarius* subsp. *salivarius* strain UCC118 was isolated from washed specimens of healthy gastrointestinal mucosa removed from the terminal ileum of a normal human (elderly female) gastrointestinal tract during urinary tract reconstructive surgery.

10     UCC118 was identified as *Lactobacillus salivarius* based on the results of API™ 50CHL (API™ systems, BioMerieux SA, France) system which tentatively identified the *Lactobacillus* species by its carbohydrate fermentation profile. Overnight MRS cultures were harvested by centrifugation and resuspended in the suspension medium provided by the manufacturer. API™ strips were  
15     inoculated and analysed after 24-48 hours according to the manufacturers instructions.

SDS-polyacrylamide gel electrophoresis analysis (SDS-PAGE) of total cell protein further determined the identity of UCC118 as a strain of *Lb. salivarius*  
20     subsp. *Salivarius*.

**Example 2:** Assessment of adhesion by the *Lactobacillus* strain to epithelial cells *in vitro*.

25

Caco-2 and HT-29 enterocytic cell-lines (14,35) were cultured as monolayers in DMEM (Dulbeccos modified Eagle's medium: Gibco Ltd., Paisley, Scotland) supplemented with 10% (v/v) foetal calf serum (Gibco Ltd.). Cells were grown in 75 cm<sup>2</sup> tissue culture flasks (Costar, Cambridge, MA, USA) at 37°C in a  
30     humidified atmosphere containing 5% CO<sub>2</sub>. At 95% confluency the monolayers were passaged by incubating with a 0.25% trypsin solution (Gibco) for 10 min at

37°C. The adhesion of the strains was examined using a modified version of a previously described method (25) (Fig 1).

5 Briefly, monolayers of Caco-2 and HT-29 cells were prepared on sterile 22 mm<sup>2</sup> glass coverslips, which were placed in tissue culture dishes. The cells were seeded at a concentration of  $4 \times 10^4$  cells/cm<sup>2</sup> and fed fresh medium every 2 days for a maximum of 10 days. The Caco-2 and HT-29 monolayers were washed twice with phosphate buffered saline (PBS). Antibiotic free DMEM (2 ml) and 2 ml of bacterial suspension (containing approx.  $10^9$  cfu/ml) were added to each  
10 dish and cells were incubated for 90 min at 37°C in a humidified atmosphere containing 5% CO<sub>2</sub>. After incubation the monolayers were washed five times with sterile PBS, fixed with methanol for 3 min, Gram stained and examined microscopically under oil immersion. For each glass coverslip monolayer the number of adherent bacteria per 20 epithelial cells was counted in 10  
15 microscopic fields. The mean and standard error of adherent bacteria per 20 epithelial cells was calculated. Each adhesion assay was performed in duplicate.

Similar results were observed using non-viable (UCC118 cells heat-killed at 80°C / 10 mins).

20

### Scanning Electron Microscopy

HT-29 cells were grown up on glass discs. After the bacterial adhesion assay, cells were fixed with 2.5% gluteraldehyde in 0.1M phosphate buffer (pH 7.4) for  
25 1 h at room temperature. After two washes with phosphate buffer, cells were postfixed for 30 min with 2% OsO<sub>4</sub> in the same buffer, washed twice with phosphate buffer, and dehydrated in a graded series (30, 50, 70, 80, 90, and 100%) of ethanol. Cells were dried in a critical-point dryer (Balzers CPD030) and coated with gold. The specimens were examined with a Joel JSM 25S  
30 scanning electron microscope (Fig 2).



**Characterisation of adhesion factor(s).**

- 5       • To compare adhesion of *Lactobacillus* UCC118 to differentiated and undifferentiated HT-29 cells in culture, the monolayers were grown up for 3 days on the glass coverslips before the adhesion assay was performed (as described above). UCC118 was observed to adhere at significantly greater levels to the more physiologically relevant differentiated cells (Fig 3).
- 10       • When determining that UCC118 demonstrates greater *in vitro* adherence in stationary phase of growth rather than log phase (Fig 4), 6h cultures were used for the assay.
- 15       • To define the components involved in the adhesion process, the *Lb. salivarius* UCC118 strain and its spent supernatant were subjected to various chemical treatments (Fig 5). All chemicals and enzymes were obtained from Sigma Chemical Co. (St. Louis, Maryland). Bacterial cells and spent culture supernatant were separated by centrifugation. The cells were washed twice in quarter strength Ringer's solution and re-suspended in MRS broth. In another experiment, the bacterial cells were treated with trypsin (2.5 mg/ml 20       for 60 min at 37°C), washed and re-suspended in MRS broth. The spent culture supernatant was also treated with trypsin under identical conditions. The trypsin was inactivated by the addition of heat-inactivated (60°C, 30 min) FOETAL CS before the adhesion assay. Bacterial cells were also pre-25       incubated with metaperiodate (50mM, 30 min), washed and re-suspended as before. Finally, the HT-29 monolayer was washed five times with 20mM ethylene diamine tetraacetic acid (EDTA) in PBS after incubation with the bacterial cells.
- 30       • Washing *Lb. salivarius* UCC118 in Ringer's solution before the adhesion assay had no effect on the strain's adherence abilities to HT-29 cells in culture. Pre-incubating this strain with trypsin resulted in a highly significant

loss of adhesion, while treatment of the spent culture supernatant in the same way did not have such an effect, indicating that a proteinaceous factor is involved in mediating adhesion of *Lb. salivarius* UCC118 to epithelial cells. Furthermore, this trait appears to be bacterial cell surface-associated, and factors secreted into the surrounding growth medium are not essential. Metaperiodate treatment, to determine the involvement of carbohydrate structures in the adhesion process, resulted in a slight decrease in adherence of the strain, while washing with EDTA after adhesion did not effect *Lb. salivarius* UCC118 binding significantly, suggesting that calcium is not necessary for adherence of *Lb. salivarius* UCC118 to occur (Fig 5).

### Example 3: Isolation of Cell-Wall Associated Proteins

The cell wall associated proteins of control and trypsin-treated *Lb. salivarius* UCC118 cells were extracted using a specifically developed procedure which did not alter the cell membranes.

Bacterial cells (50ml) were grown up overnight in MRS broth. Control and trypsin-treated cells were washed three times in quarter strength ringers. The cells were then re-suspended in 2ml TEL reagent (100mM Tris-HCl pH8, 5mM EDTA and 0.5-1.0 % lysozyme) and incubated for 3h at 37°C. The supernatant was collected after centrifugation.

PAGE was performed in the presence of 10% SDS, on the supernatants obtained using the method previously described by Laemmli (1970)(36). Briefly, the supernatants were mixed with a sample buffer (1:4 dilution) containing 5%  $\beta$ -mercaptoethanol, and heated above 90°C for 10min. The samples were loaded in the wells of a 5% stacking gel and run at 20mA until they had passed into a 10% running gel, whereupon the current was increased to 40mA. Running buffer: Tris 30.26g, glycine 144.1g, SDS 10g and up to 1L with d.H<sub>2</sub>O. Once the molecular weight markers reached the end of the gel, it was stained overnight

with comassie blue (0.3g comassie blue; 100ml acetate; 100ml methanol and up to 1L d.H<sub>2</sub>O). Destaining over several hours with regular changes of the destain (300ml methanol; 80ml acetate; 620ml d. H<sub>2</sub>O). Protein standards and their molecular weights included the following:  $\alpha_2$ -macroglobulin (195 kDa);  $\beta$ -galactosidase (112 kDa); fructose-6-phosphate kinase (84kDa); pyruvate kinase (63kDa); fumerase (52.5kDa); lactic dehydrogenase (35kDa) and triosephosphatase isomerase.

The proteolytic treatment of the bacterial cells resulted in the degradation of two cell wall associated proteins of approximately 195kDa and 83kDa (Fig 6).

**Example 4:** Assessment of the influence of FPLC-derived UCC118 cell-wall associated proteins on adhesion by UCC118 cells.

UCC118 was grown overnight in MRS liquid at 37°C. Cultures were centrifuged at 3000 g and supernatants were filter-sterilised and concentrated (x 20) by filtration through "Centricon" spin columns with a 10 kDa cut-off (Amicon, USA). Concentrates were dialysed against 50 mM Tris-HCl (pH 7.5) for 4 h, and proteins were separated on a DEAE-Sephacel anion exchange chromatography column (1.6 x 20 cm; Pharmacia Biotech AB, S-75182 Uppsala, Sweden) equilibrated with the same buffer. Bound proteins were eluted with a gradient between 0 and 500 mM NaCl in the same Tris-HCl buffer. Ten ml fractions were collected using the Fast Protein Liquid Chromatography (FPLC) "Gradifrac" system (Pharmacia). Each fraction was assessed for protein content by measuring the optical density (OD) at 280 nm (Fig 7).

Using the methodologies described above, only FPLC Fraction 18 caused significant reduction in adhesion of strain UCC118 when added prior to the introduction of the bacterial cells (Fig 8). This is most probably due to binding of available sites on the monolayer used for bacterial attachment.

SDS-PAGE analysis of the FPLC-derived UCC118 cell-wall associated proteins.

5 PAGE of FPLC Fraction 18 was performed in the presence of 10% SDS, using the method described above (Fig 9). It was found that the size of Fraction 18 (approximately 83 kDa) identifies the protein band as the smaller of the proteinaceous components visible in Fig 6.

N-terminal amino acid sequence analysis.

10 N-terminal amino acid sequence analysis determined that the UCC118 cell-wall associated protein contains the sequence: WAFRTLILVKADQVSLAKNG.

15 **Example 5:** Competitive exclusion of potential pathogen adherence *in vitro* by *Lactobacillus salivarius* UCC118

20 Using the adherence methodologies described in Example 2, it was observed that UCC118 is capable of significantly inhibiting the adherence, at least *in vitro*, of potentially-pathogenic microbes such as listeria (Fig 10) and enterococci (Fig 11). These results have implications for the prophylactic use of probiotic bacteria (or their components/products) in protecting against foodborne disease.

**Example 6:** Assessment of intestinal adhesion by the *Lactobacillus* strain.

25 12 Finnish adult ulcerative colitis patients were recruited to assess the ability of *Lact. salivarius* UCC118<sup>nf</sup> to adhere to human intestinal mucosa as the probiotic bacterial strain transit through the human gastrointestinal tract. The patients consumed a fermented milk product (120 g) containing viable *Lact. salivarius* UCC118 <sup>nf</sup> ( $10^{10}$  cfu/day) for 12 days. The fermented milk product also  
30 contained *Streptococcus thermophilus*.

Microbial analysis was performed on endoscopy-derived biopsy samples using MRS medium supplemented with rifampicin (50 µg/ml). Plates were incubated anaerobically in gas pak jars (BBL) with CO<sub>2</sub> generating kits (Anaerocult A;Merck) for 2-5 days at 37°C. No colonies were observed on the antibiotic-containing medium when biopsies were assessed prior to probiotic consumption. However, it was determined that the probiotic bacteria adhered to different anatomical regions of the large bowel and, significantly, to both inflamed and non-inflamed mucosa of the GIT (Fig 12).

*Lb. salivarius* UCC118 was found to represent approximately 1-2% of total recoverable lactobacilli from biopsies and faecal samples (Fig 13) and was capable of persisting on intestinal material for up to 26 days (Fig 13).

**Example 7: Improvement of epithelial integrity following *Lactobacillus* adhesion.**

*Lactobacillus* UCC118 was added to HT-29 monolayers and allowed to adhere for 4 hours. At this time, monolayers were removed and washed. Following cell lysis, poly (A)<sup>+</sup> RNA was isolated using magnetic beads. Following quantitation by spectrophotometry, cDNA was generated using specific primers incorporating P<sup>33</sup>. Radiolabelled cDNA was purified using spin columns and hybridised to the cellular-interactions array overnight rotating at 65°C. Following a washing procedure, the arrays were exposed to a phosphor screen (Biomax) for 24 hours. The intensity of each gene was calculated from the phosphoimage by comparison to the housekeeping gene β2-microglobulin. Relative mRNA levels for cells stimulated with UCC118 compared to cells that remained non-stimulated were quantified using these arrays. The results are shown in table 1 below.

Table 1

	Fold Increase	Fold Decrease
Integrin- $\alpha$ 4 precursor	360	
Wnt-13	150	
Semaphorin CD100	110	
Tenascin precursor	110	
Semaphorin III	110	
Rac		179
TNF $\alpha$		4

Interaction between gastrointestinal epithelial cells and probiotic bacteria directly affects intestinal integrity. In this *in vitro* model, adhesion of this probiotic strain significantly enhanced the expression of cadherins, semaphorins, wnt-13, tenascin and integrins. Cadherins are the prime mediators of epithelial cell-cell adhesion. This has been shown in a murine N-cadherin transgenic mod. as these mice develop spontaneous colitis (38). Semaphorins play key roles in the control of cellular interactions, while wnt-13 is a developmental protein affecting development of discrete regions of tissue. Tenascin regulates epithelial differentiation and integrins play multiple roles in cell differentiation and cell-cell interactions. Thus UCC118 adhesion results in the upregulation of these epithelial genes improving gut barrier function and gastrointestinal homeostasis.

Following adhesion of UCC118 to HT-29 cells, ras-related C3 botulinum toxin substrate 1 (Rac) and TNF $\alpha$  gene levels were significantly reduced. Rac is GTPase involved in the invasive behaviour of tumour cells (39). TNF $\alpha$  is a proinflammatory cytokine essential to inflammatory responses. Thus reduction in the levels of these genes would reduce tumour invasiveness and inflammatory responses within the gut.

The invention is not limited to the embodiments hereinbefore described which may be varied in detail.

## Sequence Listing

## (1) General Information:

## 5 (i) APPLICANT:

(A) NAME: Alimentary Health Ltd  
(B) STREET: Simla Villa  
(C) CITY: Passage West, Cork  
10 (D)  
(E) COUNTRY: Ireland  
(F) POSTAL CODE (ZIP): none  
(G) TELEPHONE: 00 353 21 273803  
(H) TELEFAX: 00 353 21 276318  
15

(A) NAME: COLLINS, John Kevin  
(B) STREET: Spur Hill  
(C) CITY: Doughcloyne, County Cork  
(D)  
20 (E) COUNTRY: Ireland  
(F) POSTAL CODE (ZIP): none

(G) NAME: O'SULLIVAN, Gerald  
(H) STREET: 'Cuan Baoi', Ballinveltig, Curraheen Road  
25 (I) CITY: Bishopstown, Cork  
(J)  
(K) COUNTRY: Ireland  
(L) POSTAL CODE (ZIP): none  
30

(A) NAME: SHANAHAN, Fergus  
(B) STREET: Seafort, Fort Cliff  
(C) CITY: Kinsale, Co Cork  
(D)  
35 (E) COUNTRY: Ireland  
(F) POSTAL CODE (ZIP): none

(A) NAME: KIELY, Barry  
40 (B) STREET: Simla Villa  
(C) CITY: Passage West, Co Cork  
(D)  
(E) COUNTRY: Ireland  
45 (F) POSTAL CODE (ZIP): none

22

- 5 (A) NAME: DUNNE, Colum  
 (B) STREET: 34 Greenhills Estate, South Douglas Road  
 (C) CITY: Cork  
 (D)  
 (E) COUNTRY: Ireland  
 (F) POSTAL CODE (ZIP): none
- 10 (M) NAME: O'MAHONY, Liam  
 (N) STREET: 41 Maryville Estate  
 (O) CITY: Ballintemple, County Cork  
 (P)  
 (Q) COUNTRY: Ireland  
 (R) POSTAL CODE (ZIP): none
- 15
- (ii) TITLE OF INVENTION: A Probiotic Product
- 20 (iii) NUMBER OF SEQUENCES: 1
- (iv) COMPUTER READABLE FORM:
- 25 (A) MEDIUM TYPE: Floppy Disk  
 (B) COMPUTER: IBM PC compatible  
 (C) OPERATING SYSTEM: PC-DOS/MS-DOS  
 (D) SOFTWARE: Patent In Release #1.0, Version #1.30 (EPO)
- 30 (2) Information for sequence ID No. 1:
- (i) Sequence Characteristics:  
 (A) Length: 20 Amino Acid  
 (B) Type: Amino Acid  
 35 (C) Strandedness:  
 (D) Topology: Unknown
- (xi) Sequence Description: Sequence ID. No. 1:
- 40 Trp Ala Phe Arg Thr Leu Ile Leu Val Lys  
 1 10
- Ala Asp Gln Val Ser Leu Ala Lys Asn Gly  
 11 20



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- 15

Claims

1. An adherence factor comprising a cell wall associated adhesin derived from a *Lactobacillus* or a derivative, fragment precursor or mutant of the adhesin, the adherence factor mediating adherence to epithelial cells and modulating epithelial gene expression to improve gut barrier function.
2. A factor as claimed in claim 1 wherein expression of a cadherin is upregulated.
3. A factor as claimed in claim 1 wherein expression of any one or more of a cadherin, a semaphorin, wnt-13, tenascin or an integrin is upregulated.
4. A factor as claimed in any of claims 1 to 3 wherein expression of any one or more of ras-related C3 botulinum toxin substrate 1 (Rac) or TNF $\alpha$  is downregulated.
5. A factor as claimed in any preceding claim wherein the *Lactobacillus* is isolated from resected and washed human gastrointestinal tract.
6. A factor as claimed in any preceding claim wherein the *Lactobacillus* is *Lactobacillus salivarius*.
7. A factor as claimed in any preceding claim wherein the *Lactobacillus* is *Lactobacillus salivarius* subspecies *Salivarius*.
8. A factor as claimed in any preceding claim wherein the *Lactobacillus* is *Lactobacillus salivarius* subspecies *Salivarius* UCC118 or a mutant or variant thereof.
9. A factor as claimed in any preceding claim which is proteinaceous.

10. A factor as claimed in any preceding claim having a molecular weight of approximately 83kDa.
- 5 11. A factor as claimed in any preceding claim containing at least portion of the N-terminal amino acid sequence listed in SEQ. ID. No. 1.
12. A factor as claimed in any preceding claim wherein the *Lactobacillus* is in the form of viable cells.
- 10 13. A factor as claimed in any of claims 1 to 11 wherein the *Lactobacillus* is in the form of non-viable cells.
14. A formulation which comprises a factor as claimed in any of claims 1 to 13.
- 15 15. A formulation as claimed in claim 14 which comprises a probiotic material.
- 20 16. A formulation as claimed in claim 14 or 15 which comprises a prebiotic material.
17. A formulation as claimed in any of claims 14 to 16 which comprises a strain of *Streptococcus thermophilus*.
- 25 18. A formulation as claimed in any of claims 14 to 17 which comprises an ingestable carrier.
19. A formulation as claimed in claim 18 wherein the ingestable carrier is a pharmaceutically acceptable carrier such as a capsule, tablet or powder.

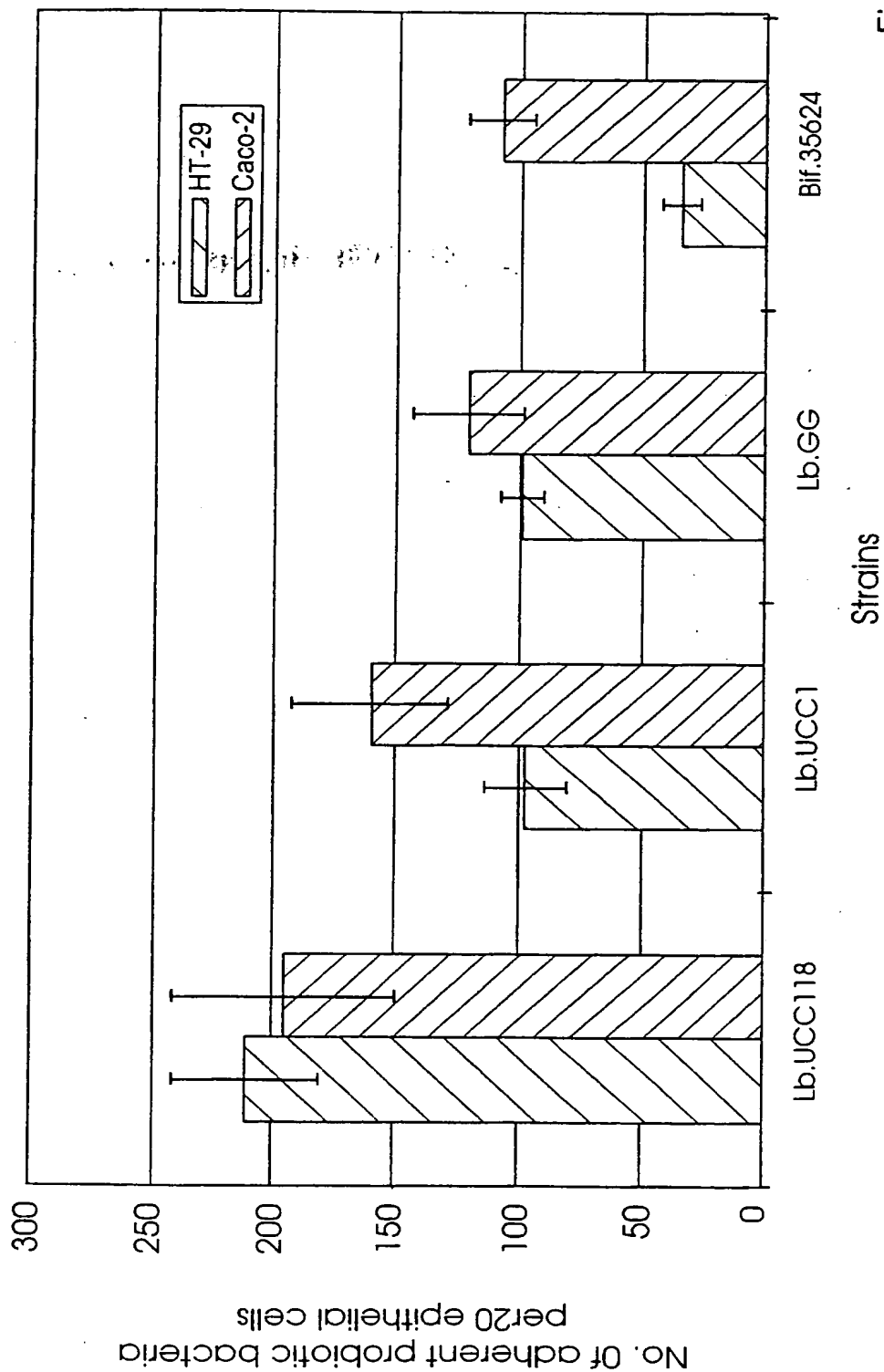


20. A formulation as claimed in claim 19 wherein the ingestable carrier is a food product such as acidified milk, yoghurt, frozen yoghurt, milk powder, milk concentrate, cheese spreads, dressings or beverages.
- 5 21. A formulation as claimed in any of claims 14 to 20 comprising a protein and/or peptide, in particular proteins and/or peptides that are rich in glutamine/glutamate, a lipid, a carbohydrate, a vitamin, mineral and/or trace element.
- 10 22. A formulation as claimed in claims 14 to 21 which comprises an adjuvant.
23. A formulation as claimed in claims 14 to 22 which comprises a bacterial component.
- 15 24. A formulation as claimed in claims 14 to 23 which comprises a drug entity.
25. A formulation as claimed in claims 14 to 24 which comprises a biological compound.
- 20 26. A formulation as claimed in claims 14 to 25 in an orally ingestable form.
27. A factor as claimed in any of claims 1 to 13 or a formulation as claimed in any of claims 14 to 26 for use in foodstuffs.
- 25 28. A factor as claimed in any of claims 1 to 13 or a formulation as claimed in any of claims 14 to 26 for use as a medicament.

29. A factor as claimed in any of claims 1 to 13 or a formulation as claimed in any of claims 14 to 26 for use in the prophylaxis and/or treatment of undesirable inflammatory activity.
- 5 30. Use of *Lactobacillus* bacteria isolated from resected and washed human gastrointestinal tract or its cell wall associated adhesin or derivative, fragment, precursor, mutant or recombinant products thereof for improving gut barrier function and or competitively excluding potential pathogens from binding to and or invading epithelial cells.
- 10 31. Use of *Lactobacillus* bacteria isolated from resected and washed human gastrointestinal tract or its cell wall associated adhesin or derivative, fragment, precursor, mutant or recombinant products thereof for mediating adherence of microorganisms to epithelial cells.
- 15 32. Use of *Lactobacillus* as claimed in claim 30 or 31 wherein the *Lactobacillus* is *Lactobacillus salivarius*.
- 20 33. Use of *Lactobacillus* as claimed in any of claims 30 to 32 wherein the *Lactobacillus* is *Lactobacillus salivarius* subsp. *Salivarius* strain.
34. Use of *Lactobacillus* as claimed in any of claims 30 to 33 wherein the *Lactobacillus* is *Lactobacillus salivarius* subsp. *Salivarius* strain UCC118.
- 25 35. *Lactobacillus salivarius* subsp. *Salivarius* strain or its adhesin component or recombinant products bearing all or part of the adhesin amino acid sequence SEQ. ID. No. 1 for use in engineering hyper-adhesive variants of microorganisms.
- 30 36. A vaccine comprising an adherence factor as claimed in any of claims 1 to 13 or a formulation as claimed in any of claims 14 to 26.

37. Use of an adherence factor as claimed in any of claims 1 to 13 for the preparation of a medicament for use in generating an immune response.
- 5 38. Use of an adherence factor as claimed in any of claims 1 to 13 for engineering hyperadhesive mutants.
- 10 39. Use of an adherence factor as claimed in any of claims 1 to 13 for the preparation of a medicament for use in regulating cell cycle and/or invasive behaviour of tumour cells.
40. A delivery system for delivery of bourn factors to intestinal tissue comprising a factor as claimed in any of claims 1 to 13.
- 15 41. An adhesin component derived *Lactobacillus salivarius* subsp. *Salivarius* strain UCC118 or recombinant products bearing all or part of the adhesin amino acid sequence SEQ. ID. No. 1 for use in generating an immune response in inflamed and/or non-inflamed intestinal tissue.
- 20 42. *Lactobacillus salivarius* subsp. *Salivarius* strain UCC118 or its adhesin component or recombinant products bearing all or part of the adhesin amino acid sequence SEQ. ID. No. 1 for use as a vaccine.
- 25 43. *Lactobacillus salivarius* subsp. *Salivarius* strain UCC118 or its adhesin component or recombinant products bearing all or part of the adhesin amino acid sequence SEQ. ID. No. 1 for use in the delivery of borne factors to inflamed and/or non-inflamed intestinal tissue and persistence at the sites of adherence to allow slow-release of the borne factors.
- 30 44. *Lactobacillus salivarius* subsp. *Salivarius* strain UCC118 or its adhesin component or recombinant products bearing all or part of the adhesin amino acid sequence SEQ. ID. No. 1 for use in foods or medicaments.

45. A cell wall associated adhesin having a molecular weight of approximately 83kDa.
- 5 46. A cell wall associated adhesin containing the N-terminal amino acid sequence listed in SEQ. ID. No. 1.



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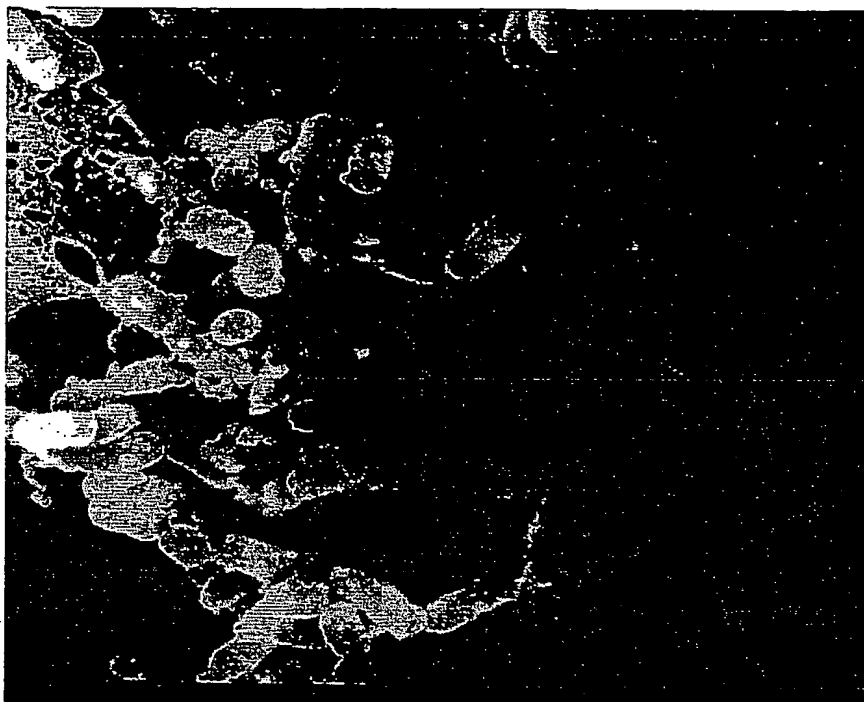


Fig. 2

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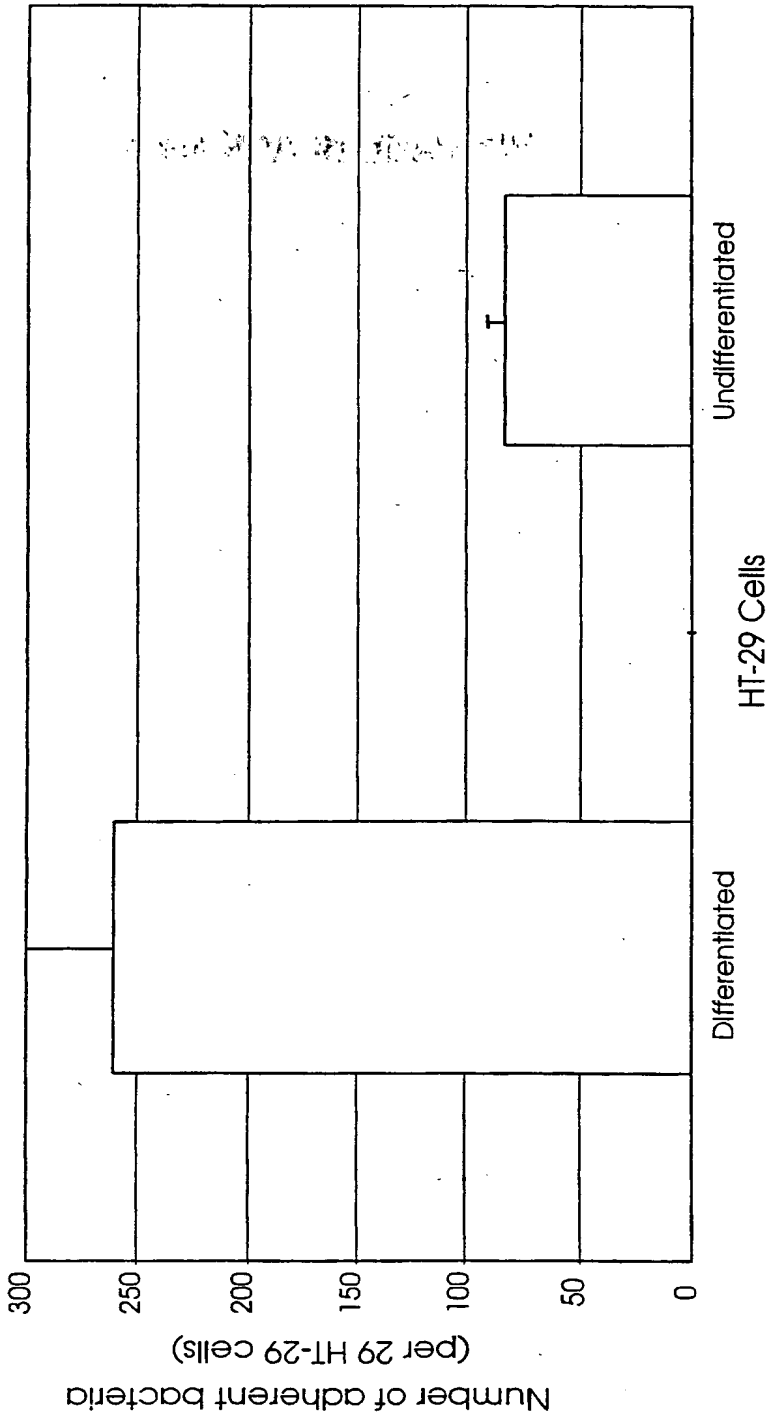


Fig. 3

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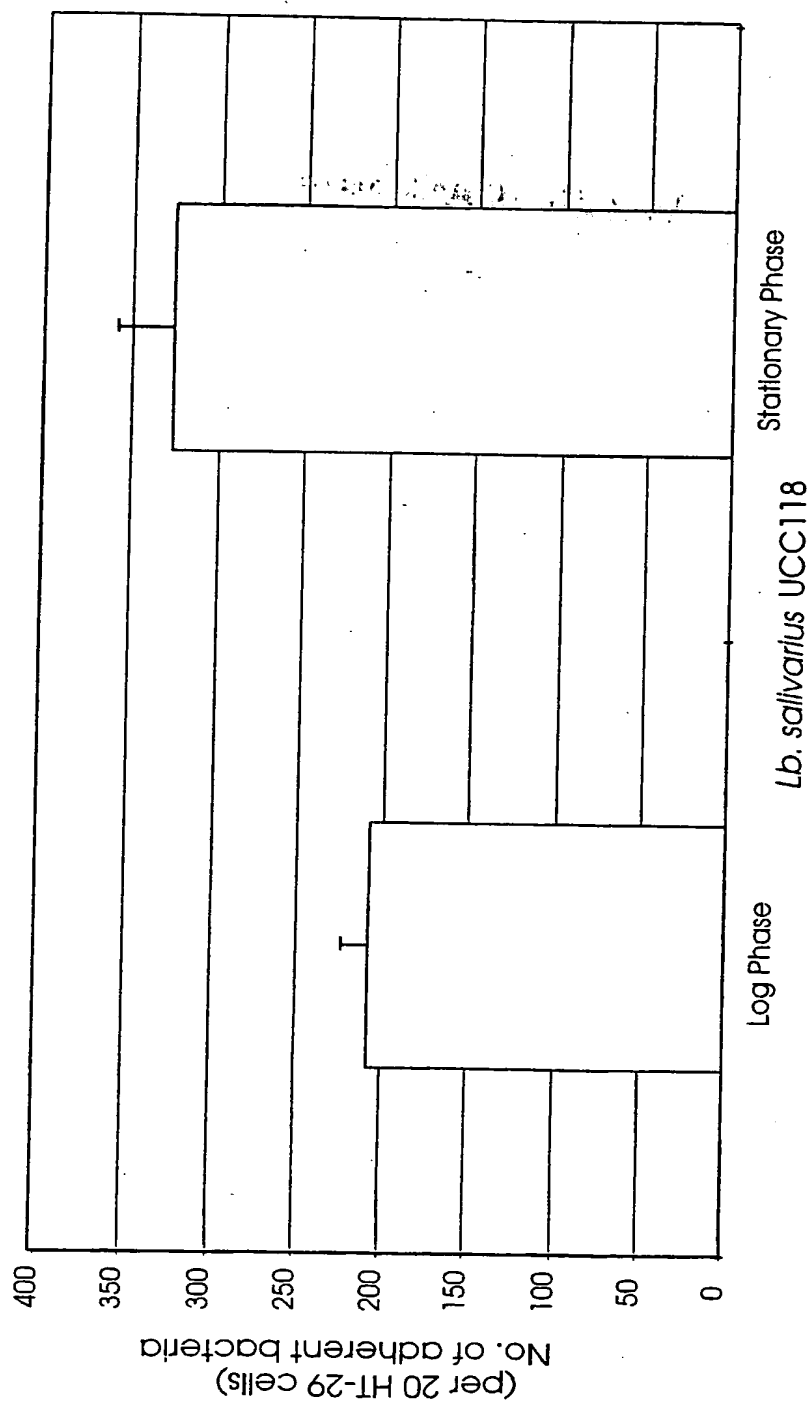


Fig. 4

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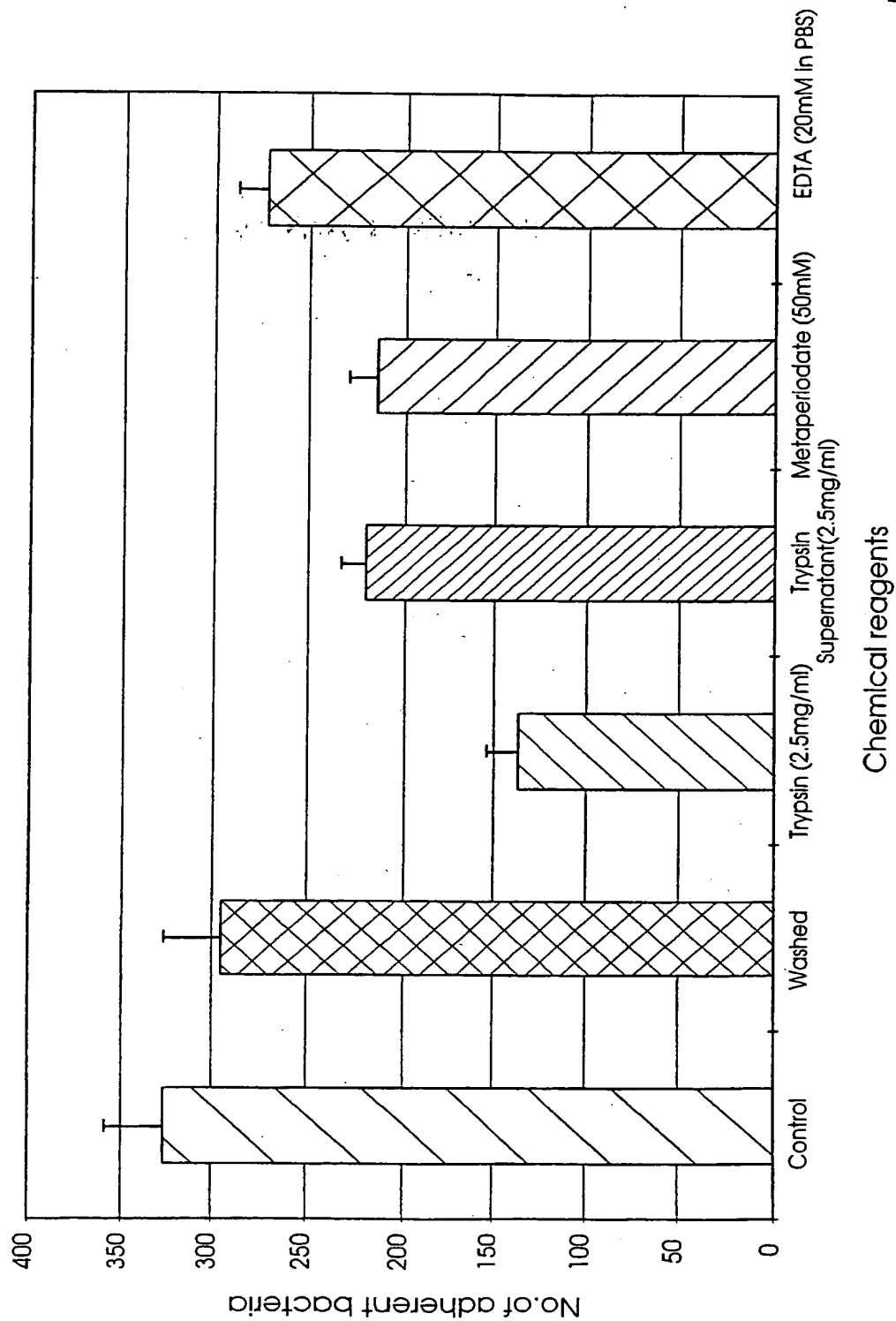


Fig. 5

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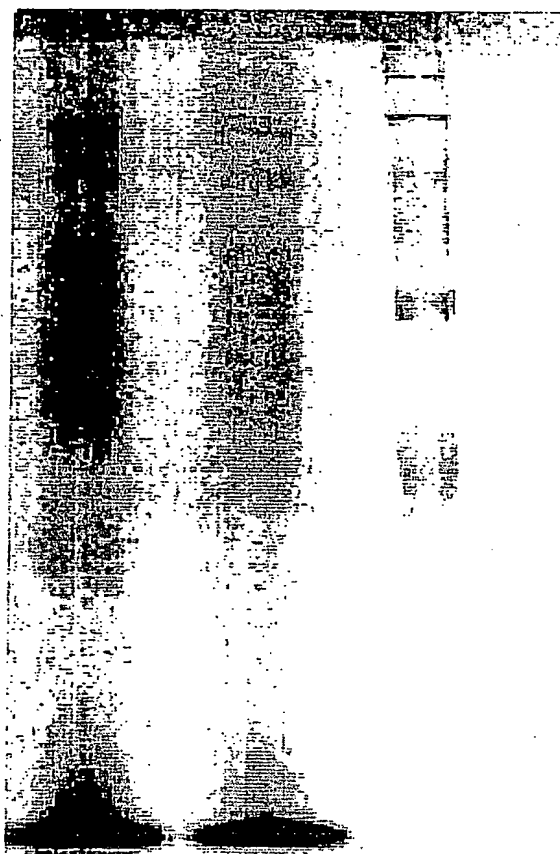


Fig. 6

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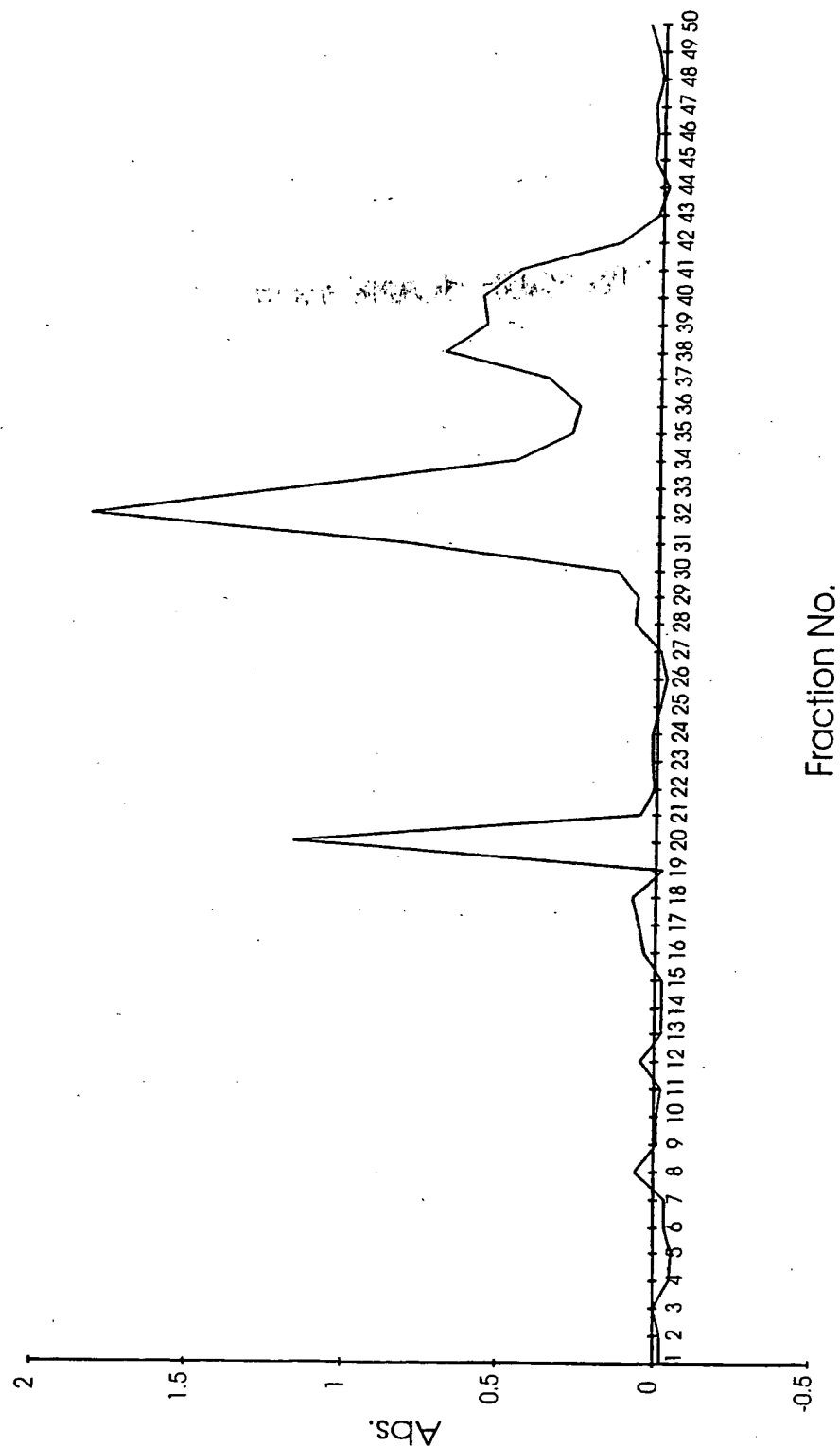


Fig. 7

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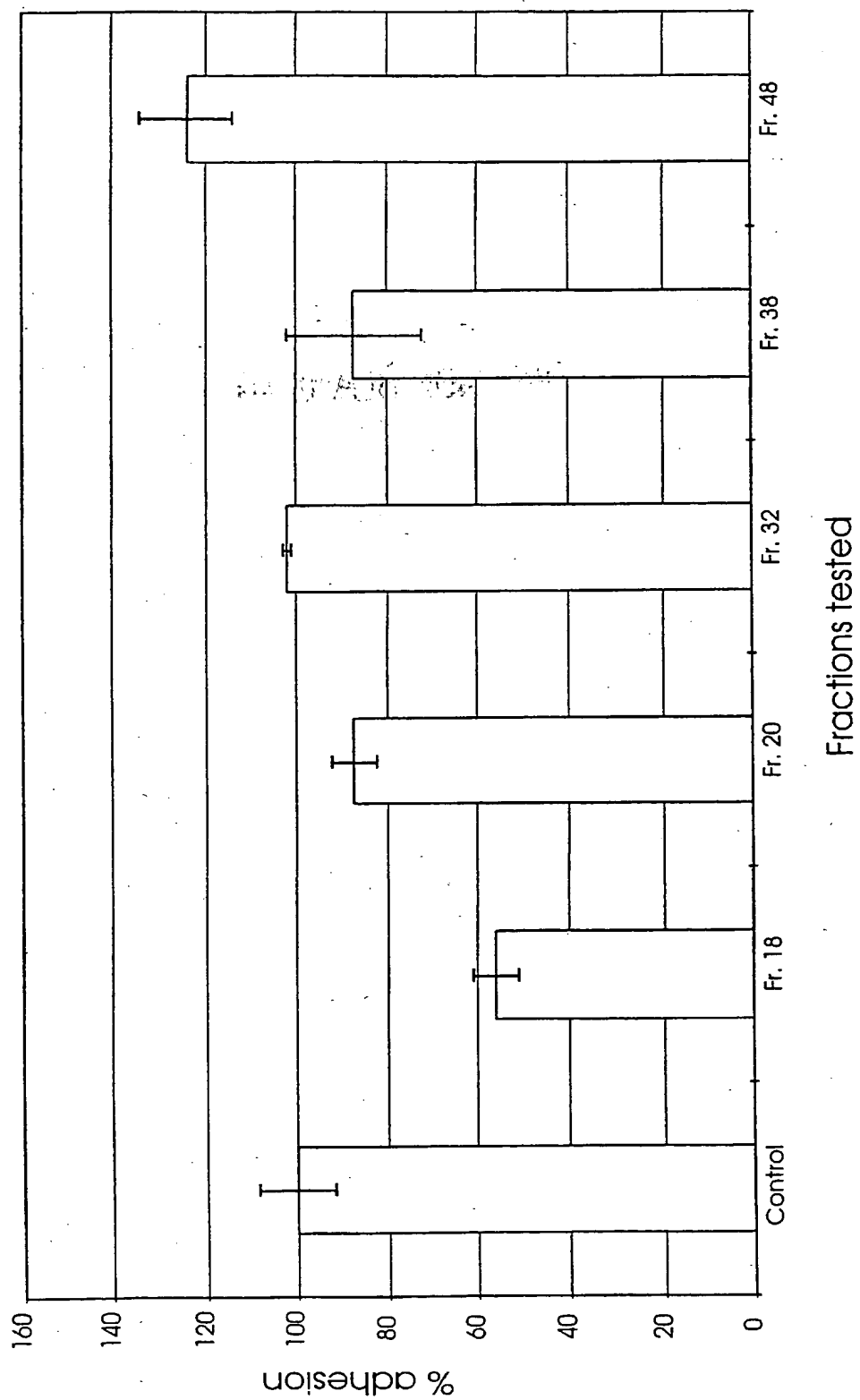


Fig. 8

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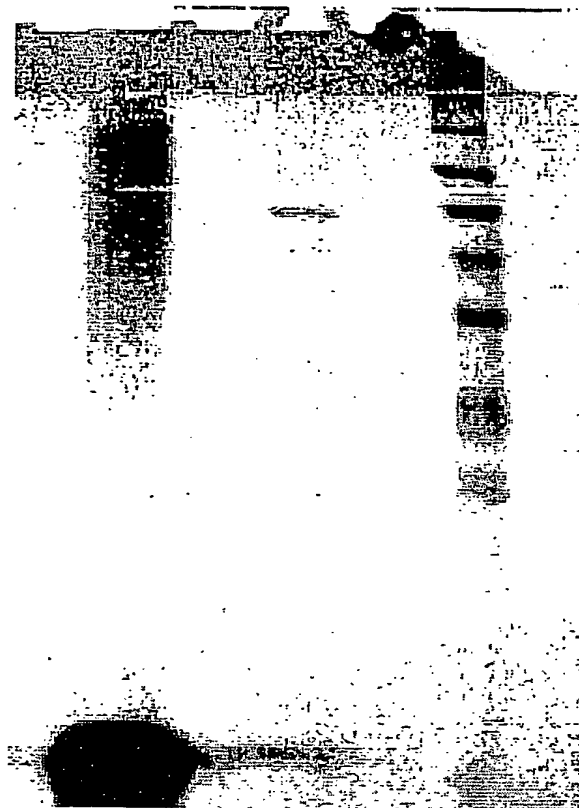


Fig. 9

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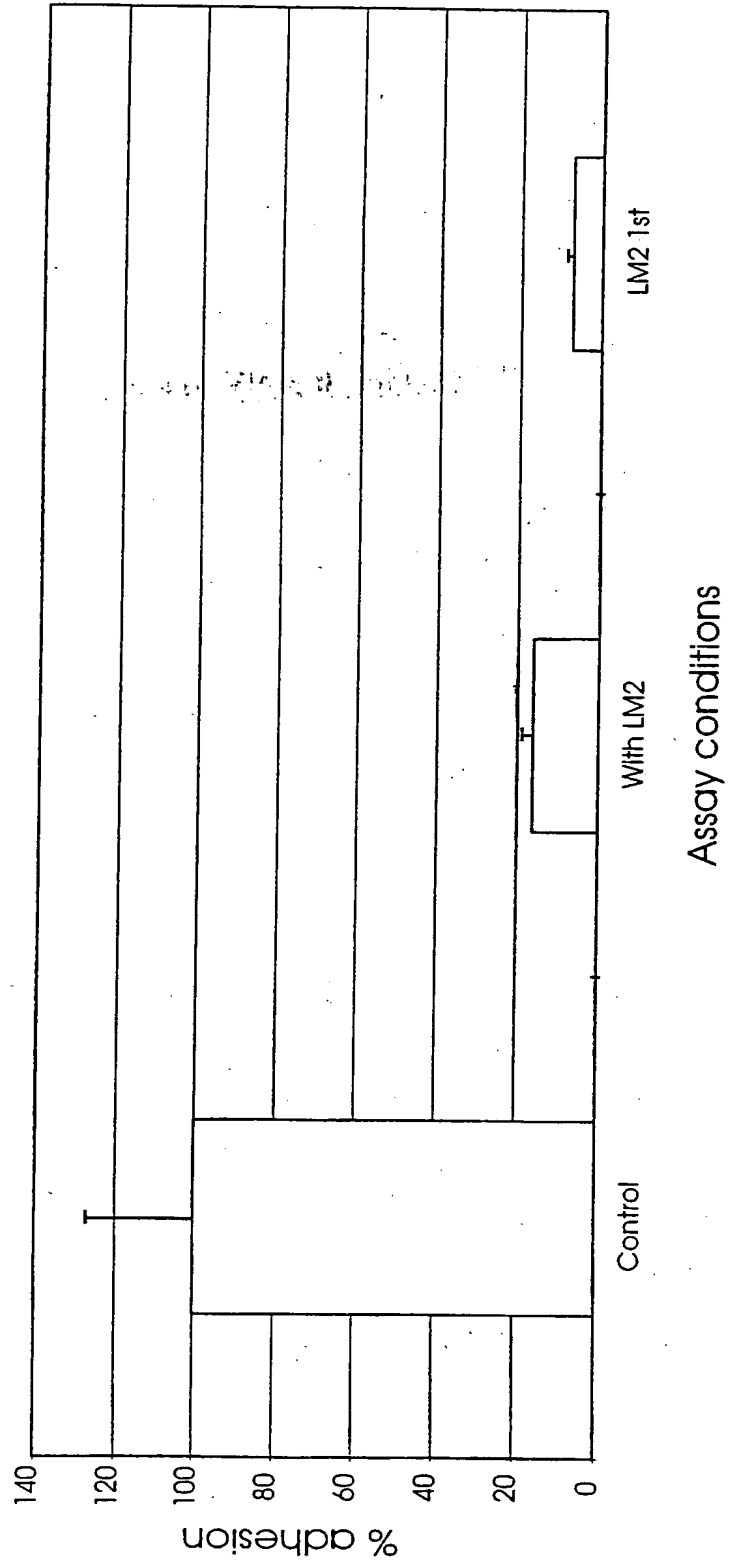
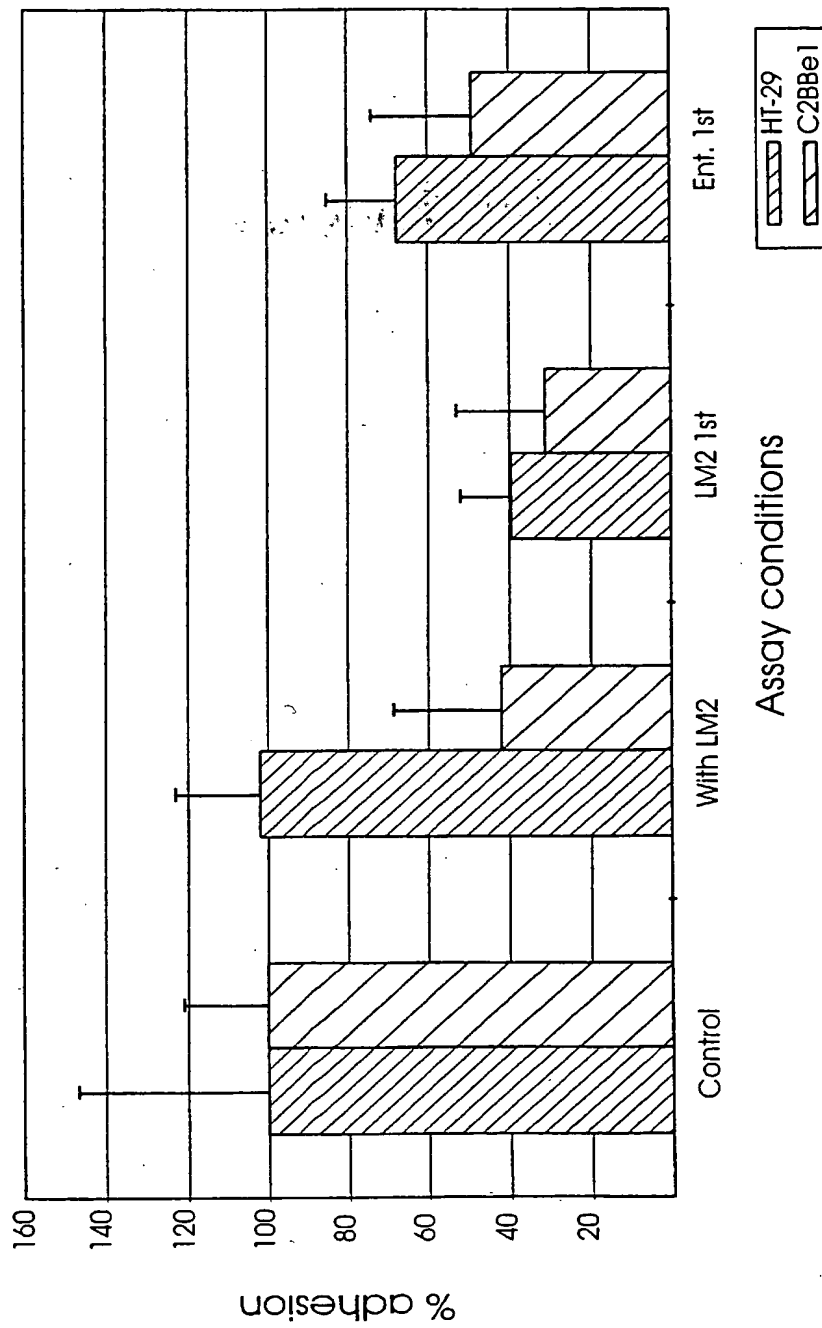


Fig. 10

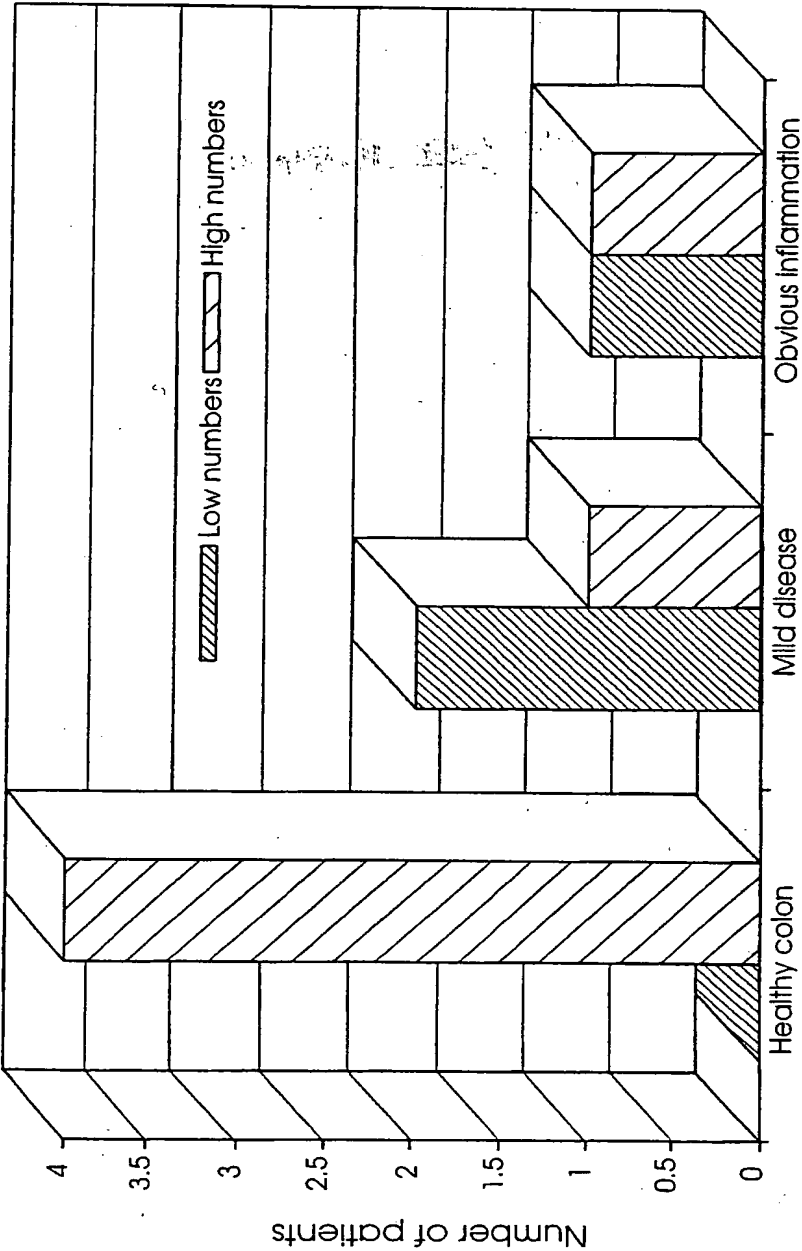
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Fig. 11



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Clinical condition of volunteers

Fig. 12

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DAY(S) ON WHICH COLLECTION OCCURRED	LOG <sub>10</sub> CFU OF Lb. salivarius UCC118 IN BIOPSIES	LOG <sub>10</sub> CFU OF Lb. salivarius UCC118 IN FAECES
0	0	0
5-7	1.3	5.4
10-12	2.6	5.8
17-19	0.6	1.3
24-26	0.7	0.4

Fig. 13

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## INTERNATIONAL SEARCH REPORT

Int'l onal Application No

PCT/IE 01/00066

## A. CLASSIFICATION OF SUBJECT MATTER

IPC 7 C07K14/335 A61K39/00 A61K35/68 A23J1/00 C12R1/225

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 C07K A61K A23J C12R

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, WPI Data, PAJ, BIOSIS, CHEM ABS Data, EMBASE

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	WO 99 51631 A (WESTERLUND WIKSTROEM BENITA ;HYNOENEN ULLA (FI); KORHONEN TIMO (FI) 14 October 1999 (1999-10-14)  abstract	1,9, 12-16, 18-29, 36-40
X	WO 90 09398 A (BIOINVENT INT AB) 23 August 1990 (1990-08-23)  claim 1  -/-	1,5,9, 12-16, 18,19, 21-26, 28-31, 36-40

☒ Further documents are listed in the continuation of box C.☒ Patent family members are listed in annex.

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Date of the actual completion of the international search

23 August 2001

Date of mailing of the international search report

03/09/2001

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2  
NL - 2280 HV Rijswijk  
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,  
Fax: (+31-70) 340-3016

Authorized officer

Mata Vicente, T.

## INTERNATIONAL SEARCH REPORT

In ☐ International Application No  
PCT/IE 01/00066

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Information on patent family members

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